**2021 Request for Ethical Review: Research Involving the First Nations, Inuit and Métis Peoples of Canada**

Please complete the questions below if you are conducting research that involves First Nations, Inuit or Métis persons. **\*Please use this form only if you are making an amendment in ROMEO to add this participant group. If you have a new application please complete this section in ROMEO.**

Specifically, if your research involves any of the following:

* research conducted on issues involving First Nations, Inuit or Métis communities; or data collection taking place on lands reserved for First Nations, Inuit or Metis use;
* recruitment criteria that include Indigenous identity as a factor for the entire study or for a subgroup in the study;
* research that seeks input from participants regarding Indigenous cultural heritage, artefacts, traditional knowledge or unique characteristics;
* research in which Indigenous identity or membership in an Indigenous community is used as a variable for the purpose of analysis of the research data; or
* interpretation of research results that will refer to Indigenous communities, peoples, language, history or culture.

If this form is incomplete or lacking enough evidence for review, the HREB will return the application.

If you are conducting research involving Indigenous persons in Canada, federal guidelines require that you address the following provisions as part of the research ethics review process. Each question is followed by a link to the provision as outlined in the Tri-Council Policy Statement, articles 9.1-9.22. See https://ethics.gc.ca/eng/tcps2-eptc2\_2018\_chapter9-chapitre9.html

Research ethics review by community REBs or other responsible bodies at the research site will not be a substitute for research ethics review by institutional REBs and will not exempt researchers affiliated with an institution from seeking REB approval at their institution, subject to TCPS2 Article 8.1. Prospective research and secondary use of data and human biological materials for research purposes is subject to research ethics

**Community engagement**

**Box 1:** Please provide a plan on how you have and will engage the relevant community in your research plans. The nature and extent of community engagement in a project shall be determined jointly by the researcher and the relevant community and shall be appropriate to community characteristics and the nature of the research.

Community engagement [Article 9.1, 9.2, 9.10]

(<https://ethics.gc.ca/eng/tcps2-eptc2_2018_chapter9-chapitre9.html#c>)

When proposing research expected to involve First Nations, Inuit or Métis participants, researchers shall advise their REBs how they have engaged, or intend to engage, the relevant community. Alternatively, researchers may seek REB approval for an exception to the requirement for community engagement, on the basis of an acceptable rationale.

Please see Article 9.2 which illustrates some examples of the forms of community engagement that might be appropriate for various types of research.

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**Box 2:** Are you collecting human participant data on lands reserved for First Nations, Inuit or Métis use or under the jurisdiction of a First Nations, Inuit or Métis authority?

\*Where a proposed research project is to be conducted on lands under the jurisdiction of a First Nations, Inuit or Métis authority, researchers shall seek the engagement of leaders of the community, except as provided under Articles 9.5, 9.6 and 9.7. <https://ethics.gc.ca/eng/tcps2-eptc2_2018_chapter9-chapitre9.html#5> \*

**Note: If you are conducting research involving the Stó:lo Nation you need to complete additional documentation. Please contact** **research.ethics@ufv.ca**

[ ]  Yes [ ]  No

If YES, you must provide evidence of review and approval by local Aboriginal groups or REBs. [Article 9.3]

\*Article 9.3 - Include evidence of review and approval by the community authority in your attachments. This may be an email, letter, or certificate indicating their review and approval. You may use the box to explain if you have not included this.\*

[ ]  I have included evidence of review and approval by the community authority. This may be an email, letter, or certificate indicating their review and approval.

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**Mutual Benefit**

**Box 3:** Please comment on how the nature of the relevance of this research was determined for meeting First Nations, Metis or Inuit community needs and practices and how it might benefit the participating community.

\*Article 9.13, 9.14 <https://ethics.gc.ca/eng/tcps2-eptc2_2018_chapter9-chapitre9.html#13>

Where the form of community engagement and the nature of the research make it possible, research should be relevant to community needs and priorities. The research should benefit the participating community (e.g., training, local hiring, recognition of contributors, return of results), as well as extend the boundaries of knowledge.

Research projects should support capacity building through enhancement of the skills of community personnel in research methods, project management, and ethical review and oversight.\*

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**Interpretation and Dissemination**

**Box 4:** Please describe the process of engaging relevant community representatives in interpreting data and reviewing research findings.

Article 9.15, 9.16, 9.17, 9.18 (<https://ethics.gc.ca/eng/tcps2-eptc2_2018_chapter9-chapitre9.html#15>)

Researchers should engage the community in identifying Elders or other recognized knowledge holders to participate in the design and execution of research, and the interpretation of findings in the context of cultural norms and traditional knowledge. Community advice should also be sought to determine appropriate recognition for the unique advisory role fulfilled by these persons.

Researchers should afford community representatives engaged in collaborative research an opportunity to participate in the interpretation of the data and the review of research findings before the completion of the final report, and before finalizing all relevant publications resulting from the research.

In collaborative research, intellectual property rights should be discussed by researchers, communities and institutions. The assignment of rights, or the grant of licenses and interests in material that may flow from the research, should be specified in a research agreement (as appropriate) before the research is conducted (**please contact the research office if this is the case** **regs@ufv.ca**).

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Completion of this form will assist in the review of your protocol. If you have any questions while completing this form, please contact research.ethics@ufv.ca

Thank you.

UFV Human Research Ethics Board